

#### NACHO STANDARD OPERATING PROCEDURES

### **Protocol Concept Proposal Submission**

Effective Date: 12/18/2018 Approved by: NACHO Executive Committee

Revised Date: 10/22/2020 Page: 1 of 4

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#### **PURPOSE**

The purpose of this Standard Operating Procedure (SOP) is to establish guidelines for NACHO members to initiate a NACHO-supported research project or initiative with the goal of:

- Encouraging constructive collaboration from the earliest stages of project development
- Supporting research projects which are aligned with the priorities of NACHO while eliminating overlap

#### **POLICY**

All new research concepts will be submitted as a proposal to NACHO leadership for review and approval prior to development of a full protocol that is supported by NACHO.

#### **PROCEDURES**

## I. Protocol Concept Proposal

- A. A protocol concept may be generated by the Clinical Studies Committee or by an individual NACHO member
- B. A protocol concept leader (likely the eventual Study Chair) will complete the NACHO Protocol Concept Form (attached)
  - 1. The protocol concept leader must be a member of NACHO in good standing
  - 2. Refer to NACHO SOP "Protocol Study Chair Responsibilities" for additional guidance on the role of the Study Chair
  - 3. The designation of the Study Chair and/or the Sponsor Investigator will be approved by the NACHO Scientific Committee at the time of concept approval.
- C. The completed Project Concept Form should be submitted to the Scientific Committee (SC)

## II. Protocol Concept Review

- A. The SC will review the proposal within 45 days of receipt, during which time the Committee may request clarifications or suggest changes to the protocol concept leader
  - 1. The protocol concept leader will have 10 days to respond to requests or suggested changes to the original proposal
  - 2. The SC will have an additional 15 days to present the final recommendation to the EC
- B. Not more than 70 days after receipt, the SC will make one of the following recommendations to the EC:
  - 1. Protocol development may proceed,
  - 2. Proposal has been tabled (the concept may be reconsidered by NACHO in the future with a new Protocol Concept Proposal Form), or
  - 3. Proposal is declined by NACHO
- C. The Executive Committee (EC) reviews the decision of the SC at the next scheduled EC meeting, or sooner if appropriate
  - 1. The EC reviews the protocol concept proposal to evaluate alignment with NACHO scientific priorities and other factors
  - 2. The EC may overrule the decision of the SC
  - 3. The EC will communicate the final decision to the SC within 5 days of meeting
- D. The SC communicates the final decision to the protocol concept leader
  - The chair of the SC will send official notification of the decision to the concept leader via memo



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2. The SC retains minutes of the concept review for documentation of the process

E. Protocol development may begin as outlined in the NACHO SOP "NACHO Protocol Development"

### **MAINTENANCE RESPONSIBILITY**

The NACHO SOP Ad Hoc Committee is responsible for the review of this SOP. Suggested changes must be proposed to and approved by the NACHO Executive Committee.

#### **AUTHORIZATION**

This SOP was developed by the SOP Ad Hoc Committee and reviewed and approved by the NACHO Executive Committee.

### **VERSION/REVISION HISTORY**

Approval Date	Version	Version/Revision Summary
12/18/2018	V 1.0	Original Version
10/22/2020	V 2.0	Version updated with new timelines and clarification

### **SUPPORTING DOCUMENTS**

Attachment 1: Protocol Concept Form

• Example of the Protocol Concept Form to be filled out

Attachment 2: NACHO Protocol Development Process

Process Flow Chart of the Protocol Development Process



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# **Attachment 1: Protocol Concept Form**

	NACHO Protocol Concept Proposal Form		
North American Consortium for Histiocytosis	Effective Date: xx/xx/xxxx	Approved by: NACHO Executive Committee	
North American Consortium for Histocytosis	Revised Date: NA	Page: 1 of 1	

NOTE: Please refer to NACHO SOP "Protocol Concept Proposal Submission" for more information.

Concept Title:		
NACHO Collaborators:		
Other Collaborators:		
□ Retrospective data analysis		
☐ Biology study (human samples)		
☐ Laboratory study (pre-clinical research)		
of the study concept which includes background,		
n (if applicable), and references.		
dy and current NACHO protocols or approved		

### 4. Project Funding

Have funding sources been identified for this project? **Yes** *I* **No** If yes, describe:

If no, what are the plans to secure funding?

If study drug is required, has the pharmaceutical company been contacted?

If no, what are the plans to secure study drug?

## 5. Submission

Please submit the completed form and attachments along with a completed Conflict of Interest Form for each Chair and co-Chair to: <a href="MACHO.OperationsCenter@stjude.org">NACHO.OperationsCenter@stjude.org</a> The completed Concept Form will be forwarded to the current chair of the Scientific Committee (SC). Clarifications and changes may be requested by the SC during the 45-day review period. The SC will notify the EC of their recommendation within 70 days. The submitting NACHO member will be notified by the SC when the EC has finalized the decision.



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## **Attachment 2: NACHO Protocol Development Process**

# NACHO Protocol Development Process

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SOP: NACHO Protocol Concept

Proposal

Step 1

- Clinical Studies Committee-led idea for study, or
- NACHO Member-led idea for a study

Protocol Concept Form

- Project lead/Study Chair completes Protocol Concept Form See SOP "Study Concept Submission Guidelines"
- Include: Proposed study team, scientific aims, funding strategies, feasibility, conflicts with NACHO projects
- Conflict of Interest Form Submission

Scientific Committee Review

- Scientific Committee reviews concept, requests clarifications or changes
- Scientific Committee informs study chair within 45 days Proceed, Table, or Disapprove

Funding Plan

 Protocol Study Chair secures funding and develops a funding plan with NACHO Operations Center

Executive Committee Review

 Reviews Decision of Scientific Committee and confirms alignment with NACHO Priorities

Protocol evelopment Phase

- Study Chair and team write protocol See SOP "NACHO Protocol Development"
- Protocol Study Chair submits protocol draft and related documents to Clinical Studies Committee

Clinical Studies Committee Review

CSC provides guidance and feedback throughout protocol development process

NACHO Operations Review

· NACHO Ops reviews the study for operational feasibility

Scientific Committee Review  Reviews Final Protocol and related documents for rigor and alignment with original concept

Executive Committee Review

- · Final Review of all protocol documents
- Review/approve funding plan
- Approval to begin regulatory process

Step 3
Regulatory Review

SOP: NACHO Protocol

Development

- IRB Submission
- Protocol Study Chair submits protocol to local IRB
- Protocol Study Chair must consult with Scientific Committee for any major modification required by IRB









